A bill to be entitled 1 2 An act relating to Developmental Disabilities; amending 3 s.39.201, F.S.; allowing the Agency for Persons with Disabilities use of information in the central abuse 4 5 hotline and abuse information system for the licensure 6 process; amending s.393.063, F.S.; defining autism as 7 autistic disorder; amending s.393.065, F.S.: providing 8 dates for implementation of waitlist prioritization; 9 amending 393.0661, F.S.; specifying assessment instruments which may be used for home and community based services 10 and assignments to tiers; providing that age is a client 11 12 characteristic to be used as part of the tier assignment; 13 providing which individuals are to be enrolled in tier 14 four; amending s.393.067, F.S.; requiring facility staff training on reporting abuse, neglect and exploitation and 15 giving the agency rule and facility inspection authority; 16 amending s.393.0673, F.S.; providing violations which may 17 18 be considered in procedures related to denial, suspension 19 revocation of a license or an administrative fine; 20 amending s.393.125, F.S.; providing for hearings on 21 Medicaid programs administered by the Agency for Persons with Disabilities; amending s.393.13, F.S.; providing 22 rights for persons with developmental disabilities; 23 amending s.393.506, F.S.; providing an exception and method 24 25 for validation of certain routes of medication 26 administration; providing an effective date.

Be It Enacted by the Legislature of the State of Florida:
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Section 1. Subsection (6) of section 39.201, Florida Statutes, is amended to read:

- 39.201 Mandatory reports of child abuse, abandonment, or neglect; mandatory reports of death; central abuse hotline.—
- (6) Information in the central abuse hotline may not be used for employment screening, except as provided in s. 39.202(2)(a) and (h). Information in the central abuse hotline and the department's automated abuse information system may be used by the department, its authorized agents or contract providers, the Agency for Persons with Disabilities as part of the licensure process pursuant to s. 393.067 and s. 393.0673, the Department of Health, or county agencies as part of the licensure or registration process pursuant to ss. 402.301-402.319 and ss. 409.175-409.176.
- Note.—Former ss. 828.041, 827.07(3), (4), (9), (13); s. 45 415.504.
  - Section 2. Subsection (3) of section 393.063, Florida Statutes, is amended to read:
  - 393.063 Definitions.—For the purposes of this chapter, the term:
  - (3) "Autism" means <u>autistic disorder as defined in the</u>

    <u>fourth edition of the Diagnostic and Statistical Manual of</u>

    <u>Mental Disorders, by the American Psychiatric Association, which</u>

    <u>is</u> a pervasive, neurologically based developmental disability of extended duration which causes severe learning, communication, and behavior disorders with age of onset during infancy or childhood. Individuals with autism exhibit impairment in

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reciprocal social interaction, impairment in verbal and nonverbal communication and imaginative ability, and a markedly restricted repertoire of activities and interests.

Section 3. Subsection (5) of section 393.065, Florida Statutes, is amended to read:

393.065 Application and eligibility determination.

- (5) Except as otherwise directed by law, beginning July 1, 2010, the agency shall assign and provide priority to clients waiting for waiver services in the following order <u>for</u> <u>categories 1 and 2 in paragraph (a) and (b) and effective July</u> 1, 2012, for categories 3,4,5,6 and 7 in paragraphs (c)-(g):
- (a) Category 1, which includes clients deemed to be in crisis as described in rule.
- (b) Category 2, which includes children on the wait list who are from the child welfare system with an open case in the Department of Children and Family Services' statewide automated child welfare information system.
- (c) Category 3, which includes, but is not required to be limited to, clients:
- 1. Whose caregiver has a documented condition that is expected to render the caregiver unable to provide care within the next 12 months and for whom a caregiver is required but no alternate caregiver is available;
- 2. At substantial risk of incarceration or court commitment without supports;
- 3. Whose documented behaviors or physical needs place them or their caregiver at risk of serious harm and other supports are not currently available to alleviate the situation; or

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- 4. Who are identified as ready for discharge within the next year from a state mental health hospital or skilled nursing facility and who require a caregiver but for whom no caregiver is available.
- (d) Category 4, which includes, but is not required to be limited to, clients whose caregivers are 70 years of age or older and for whom a caregiver is required but no alternate caregiver is available.
- (e) Category 5, which includes, but is not required to be limited to, clients who are expected to graduate within the next 12 months from secondary school and need support to obtain or maintain competitive employment, or to pursue an accredited program of postsecondary education to which they have been accepted.
- (f) Category 6, which includes clients 21 years of age or older who do not meet the criteria for category 1, category 2, category 3, category 4, or category 5.
- (g) Category 7, which includes clients younger than 21 years of age who do not meet the criteria for category 1, category 2, category 3, or category 4.

Within categories 3, 4, 5, 6, and 7, the agency shall maintain a wait list of clients placed in the order of the date that the client is determined eligible for waiver services.

Section 4. Subsections (1) and (3) of section 393.0661, Florida Statutes, are amended to read:

393.0661 Home and community-based services delivery system; comprehensive redesign.—The Legislature finds that the Page 4 of 15

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CODING: Words stricken are deletions; words underlined are additions.

home and community-based services delivery system for persons with developmental disabilities and the availability of appropriated funds are two of the critical elements in making services available. Therefore, it is the intent of the Legislature that the Agency for Persons with Disabilities shall develop and implement a comprehensive redesign of the system.

- (1) The redesign of the home and community-based services system shall include, at a minimum, all actions necessary to achieve an appropriate rate structure, client choice within a specified service package, appropriate assessment strategies, an efficient billing process that contains reconciliation and monitoring components, a redefined role for support coordinators that avoids potential conflicts of interest, and ensures that family/client budgets are linked to levels of need.
- (a) The agency shall use an assessment instrument which that is reliable and valid, including either the Individual Cost Guidelines or the Questionnaire for Situational Information. The agency may contract with an external vendor or may use support coordinators to complete client assessments if it develops sufficient safeguards and training to ensure ongoing inter-rater reliability.
- (b) The agency, with the concurrence of the Agency for Health Care Administration, may contract for the determination of medical necessity and establishment of individual budgets.
- (3) The Agency for Health Care Administration, in consultation with the agency, shall seek federal approval and implement a four-tiered waiver system to serve eligible clients through the developmental disabilities and family and supported

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living waivers. The agency shall assign all clients receiving services through the developmental disabilities waiver to a tier based on an valid assessment instrument which is either the Individual Cost Guidelines or the Questionnaire for Situational Information, client characteristics including but not limited to age, and other appropriate assessment methods.

- (a) Tier one is limited to clients who have service needs that cannot be met in tier two, three, or four for intensive medical or adaptive needs and that are essential for avoiding institutionalization, or who possess behavioral problems that are exceptional in intensity, duration, or frequency and present a substantial risk of harm to themselves or others.
- (b) Tier two is limited to clients whose service needs include a licensed residential facility and who are authorized to receive a moderate level of support for standard residential habilitation services or a minimal level of support for behavior focus residential habilitation services, or clients in supported living who receive more than 6 hours a day of in-home support services. Total annual expenditures under tier two may not exceed \$55,000 per client each year.
- (c) Tier three includes, but is not limited to, clients requiring residential placements, clients in independent or supported living situations, and clients who live in their family home. Total annual expenditures under tier three may not exceed \$35,000 per client each year.
- (d) Tier four is includes individuals enrolled in the family and supported living waiver on July 1, 2007, who shall be assigned to this tier without the assessments required by this

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section. and Tier four also includes, but is not limited to, clients in independent or supported living situations and clients who live in their family home. Total annual expenditures under tier four may not exceed \$14,792 per client each year.

- (e) The Agency for Health Care Administration shall also seek federal approval to provide a consumer-directed option for persons with developmental disabilities which corresponds to the funding levels in each of the waiver tiers. The agency shall implement the four-tiered waiver system beginning with tiers one, three, and four and followed by tier two. The agency and the Agency for Health Care Administration may adopt rules necessary to administer this subsection.
- (f) The agency shall seek federal waivers and amend contracts as necessary to make changes to services defined in federal waiver programs administered by the agency as follows:
- 1. Supported living coaching services may not exceed 20 hours per month for persons who also receive in-home support services.
- 2. Limited support coordination services is the only type of support coordination service that may be provided to persons under the age of 18 who live in the family home.
- 3. Personal care assistance services are limited to 180 hours per calendar month and may not include rate modifiers. Additional hours may be authorized for persons who have intensive physical, medical, or adaptive needs if such hours are essential for avoiding institutionalization.
- 4. Residential habilitation services are limited to 8 hours per day. Additional hours may be authorized for persons Page 7 of 15

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who have intensive medical or adaptive needs and if such hours are essential for avoiding institutionalization, or for persons who possess behavioral problems that are exceptional in intensity, duration, or frequency and present a substantial risk of harming themselves or others. This restriction shall be in effect until the four-tiered waiver system is fully implemented.

- 5. Chore services, nonresidential support services, and homemaker services are eliminated. The agency shall expand the definition of in-home support services to allow the service provider to include activities previously provided in these eliminated services.
- 6. Massage therapy, medication review, and psychological assessment services are eliminated.
- 7. The agency shall conduct supplemental cost plan reviews to verify the medical necessity of authorized services for plans that have increased by more than 8 percent during either of the 2 preceding fiscal years.
- 8. The agency shall implement a consolidated residential habilitation rate structure to increase savings to the state through a more cost-effective payment method and establish uniform rates for intensive behavioral residential habilitation services.
- 9. Pending federal approval, the agency may extend current support plans for clients receiving services under Medicaid waivers for 1 year beginning July 1, 2007, or from the date approved, whichever is later. Clients who have a substantial change in circumstances which threatens their health and safety may be reassessed during this year in order to determine the

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necessity for a change in their support plan.

- 10. The agency shall develop a plan to eliminate redundancies and duplications between in-home support services, companion services, personal care services, and supported living coaching by limiting or consolidating such services.
- 11. The agency shall develop a plan to reduce the intensity and frequency of supported employment services to clients in stable employment situations who have a documented history of at least 3 years' employment with the same company or in the same industry.
- Section 5. Subsections (4), (7), and (9) of section 393.067, Florida Statutes, are amended to read:
  - 393.067 Facility licensure.-
- (4) The application shall be under oath and shall contain the following:
- (a) The name and address of the applicant, if an applicant is an individual; if the applicant is a firm, partnership, or association, the name and address of each member thereof; if the applicant is a corporation, its name and address and the name and address of each director and each officer thereof; and the name by which the facility or program is to be known.
- (b) The location of the facility or program for which a license is sought.
- (c) The name of the person or persons under whose management or supervision the facility or program will be conducted.
- 251 (d) The number and type of residents or clients for which
  252 maintenance, care, education, or treatment is to be provided by
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253 the facility or program.

- (e) The number and location of the component centers or units which will compose the comprehensive transitional education program.
- (f) A description of the types of services and treatment to be provided by the facility or program.
- (g) Information relating to the number, experience, and training of the employees of the facility or program.
- (h) Certification that the staff of the facility or program will receive training to detect, <u>report</u> and prevent sexual abuse, <u>abuse</u>, <u>neglect</u>, <u>exploitation</u> and <u>abandonment</u> as defined in s. 39.01 and s. 415.102, of residents and clients.
- (i) Such other information as the agency determines is necessary to carry out the provisions of this chapter.
- (7) The agency shall adopt rules establishing minimum standards for facilities and programs licensed under this section, including rules requiring facilities and programs to train staff to detect, report and prevent sexual abuse, abuse, neglect, exploitation and abandonment, as defined in s. 39.01 and s. 415.102, of residents and clients, minimum standards of quality and adequacy of client care, incident reporting requirements, and uniform firesafety standards established by the State Fire Marshal which are appropriate to the size of the facility or of the component centers or units of the program.
- (9) The agency may conduct unannounced inspections to determine compliance by foster care facilities, group home facilities, residential habilitation centers, and comprehensive transitional education programs with the applicable provisions

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of this chapter and the rules adopted pursuant hereto, including the rules adopted for training staff of a facility or a program to detect, report, and prevent sexual abuse, abuse, neglect, exploitation and abandonment, as defined in s. 39.01 and s. 415.102, of residents and clients. The facility or program shall make copies of inspection reports available to the public upon request.

Section 6. Subsections (1) and (2) of section 393.0673, Florida Statutes, are amended to read:

393.0673 Denial, suspension, or revocation of license; moratorium on admissions; administrative fines; procedures.—

(1) The agency may revoke or suspend a license or impose an administrative fine a licensee, not to exceed \$1,000 per violation per day, if the agency determines the licensee has committed one or more of the following violations:

## (a) The licensee has:

- $\frac{1}{(a)}$ . Falsely represented or omitted a material fact in its license application submitted under s. 393.067;
- $\frac{2}{(b)}$ . Had prior action taken against it under the Medicaid or Medicare program; or
- $\frac{3}{(c)}$ . Failed to comply with the applicable requirements of this chapter or rules applicable to the licensee;  $\frac{3}{(c)}$
- (bd) The Department of Children and Family Services has verified that the licensee is responsible for the abuse, neglect, or abandonment of Abused, sexually abused, neglected or abandoned a child as defined in s. 39.01, F.S., or the abuse, neglect, or exploitation of abused, sexually abused, neglected or exploited a vulnerable adult as defined in s.415.102, F.S.;

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309	(e) Knowingly submitted false or inaccurate information in
310	order to obtain payment for services;
311	(f) Knowingly used the funds, property, or identity of a
312	client for the purpose of self-gain;
313	(g) Knowingly compromised the health, safety, or welfare of
314	a client;
315	(h) Knowingly violated the rights of a client as provided
316	in s. 393.13; or
317	(i) Denied access to clients by the client's guardian, a
318	minor's parent, waiver support coordinator, an agency employee,
319	or other authorized person.
320	(2) The agency may deny an application for licensure
321	submitted under s. 393.067 if:
322	(a) The applicant has:
323	1. Falsely represented or omitted a material fact in its
324	license application submitted under s. 393.067;
325	2. Had prior action taken against it under the Medicaid or
326	Medicare program;
327	3. Failed to comply with the applicable requirements of
328	this chapter or rules applicable to the applicant; or
329	4. Previously had a license to operate a residential
330	facility revoked by the agency, the Department of Children and
331	Family Services, or the Agency for Health Care Administration;
332	<del>or</del>
333	5. The Department of Children and Family Services has
334	verified that the applicant is responsible for the abuse,
335	neglect, or abandonment of Abused, sexually abused, neglected or

<u>abandoned</u> a child <u>as defined in s. 39.01,</u> or the abuse, neglect, Page 12 of 15

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337 or exploitation of abused, sexually abused, neglected or 338 exploited a vulnerable adult as defined in s. 415.102, F.S.; 339 6. Knowingly submitted false or inaccurate information in 340 order to obtain payment for services; 341 7. Knowingly used the funds, property, or identity of a 342 client for the purpose of self-gain; 343 8. Knowingly compromised the health, safety, or welfare of a 344 client; 345 9. Knowingly violated the rights of a client as provided in 346 s. 393.13, F.S.; or 347 10. Denied access to clients by the client's quardian, a 348 minor's parent, waiver support coordinator, an agency employee, 349 or other authorized person. 350 Section 7. Subsection (1) of section 393.125, Florida Statutes, is amended to read: 351 352 393.125 Hearing rights.-353 REVIEW OF AGENCY DECISIONS.-(1)354 (a) For Medicaid programs administered by the agency, any 355 developmental services applicant or client, or his or her 356 parent, quardian, quardian advocate, or authorized 357 representative, may request a hearing in accordance with federal Medicaid law and rules and shall request such a hearing pursuant 358 359 to ss. 120.569 and 120.57. These hearings shall be provided by the Department of Children and Family Services pursuant to s. 360 361 409.285 and shall follow procedures consistent with applicable 362 federal Medicaid law and rules. 363 (b) Any other developmental services applicant or client, 364 or his or her parent, guardian, guardian advocate, or authorized

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representative, who has any substantial interest determined by the agency, has the right to request an administrative hearing pursuant to ss. 120.569 and 120.57, which hearing shall be conducted pursuant to s. 120.57(1),(2) or (3).

- (b) (c) Notice of the right to an administrative hearing shall be given, both verbally and in writing, to the applicant or client, and his or her parent, guardian, guardian advocate, or authorized representative, at the same time that the agency gives the applicant or client notice of the agency's action. The notice shall be given, both verbally and in writing, in the language of the client or applicant and in English.
- (c) A request for a hearing under this section shall be made to the agency, in writing, within 30 days of the applicant's or client's receipt of the notice.
- Section 8. Paragraph (a) of subsection (3) of section 393.13, Florida Statutes, is amended to read:
- 393.13 Treatment of persons with developmental disabilities.—
- (3) RIGHTS OF ALL PERSONS WITH DEVELOPMENTAL DISABILITIES.—The rights described in this subsection shall apply to all persons with developmental disabilities, whether or not such persons are clients of the agency.
- (a) Persons with developmental disabilities shall have a right to dignity, privacy, and humane care, including the right to be free from <u>abuse</u>, including sexual abuse, <u>neglect and</u> exploitation. in residential facilities.
- 391 Section 9. Paragraph (c) is added to subsection (2) of section 393.506, Florida Statutes, to read:

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393.506 Administration of medication.-

- (2) In order to supervise the self-administration of medication or to administer medications as provided in subsection (1), a direct service provider must satisfactorily complete a training course of not less than 4 hours in medication administration and be found competent to supervise the self-administration of medication by a client or to administer medication to a client in a safe and sanitary manner. Competency must be assessed and validated at least annually in an onsite setting and must include personally observing the direct service provider satisfactorily:
- (c) Competency in all routes of medication administration as provided in subsection (1) must be assessed and validated at least annually in an onsite setting with an actual client except for the topical, transdermal, and otic routes, which may be validated by simulation during the required training course, and do not require annual revalidation.

Section 10. This act shall take effect upon becoming law.